SAMPLE REPORT - FICTITIOUS COMPANY - NOT A REAL REVIEW

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Counterparty Check

Report no.	GAV-MUESTRA-2026-0001
Company reviewed	Suministros Tepuy Azul del Caribe, C.A. - RIF J-40999999-0
Review date (as of)	2026-11-20
Requested by	Sample client (fictitious), Canada

1. Result statement

> Review result as of 2026-11-20 for Suministros Tepuy Azul del Caribe, C.A. (RIF J-40999999-0) against the lists and versions in section 3, the identity documents in section 4 and the sources in sections 7 to 9. This is not a legal opinion and does not authorise any transaction.

Identity and RIF matched to documents	Yes
Names screened (company, holding, persons)	5
Potential list matches for human review	0
Of which, after human review, the listed party	0
PEP links found (manual review by the reviewer)	0
Adverse media items relevant to the review (manual review by the reviewer)	0
Venezuela general licence alerts	1 (state-owned customer, section 9)

2. Scope and method

- Subject: one Venezuelan company, its holding company and the persons in its latest shareholders' meeting minutes.
- Lists: automated name screening with the GA screening engine against the six official lists in section 3 (OFAC SDN, OFAC Consolidated non-SDN, Canada SEMA, EU, UK, UN), threshold 75/100, Spanish-aware matching (two surnames, particles, accents, phonetic variants); every potential match reviewed by a person.
- Identity: documents supplied by the client or the company (RIF, articles, latest minutes, IDs of directors), matched against public lookups where available.
- PEP and adverse media: manual review by the reviewer, outside the engine: searches in Spanish and English with the sources and queries recorded in sections 7 and 8.

- Out of scope: credit, financial statements, litigation in court records, site visits, opinions on the legality of any transaction.

3. Lists and versions used

List	Downloaded / file version	Records	Status
CA-SEMA - Consolidated Canadian Autonomous Sanctions List (Global Affairs Canada) https://www.international.gc.ca/world-monde/assets/office_docs/international_relations-relations_internationales/sanctions/sema-lmes.xml SHA-256 6768368227404852f42514db78ade4fcbf0f9a9dea86a96eeaa42f24787b4052	2026-11-20 Last-Modified Tue, 10 Nov 2026 08:51:50 GMT	5,781	Screened by engine v0.2.0
US-OFAC-SDN - OFAC Specially Designated Nationals and Blocked Persons List (U.S. Treasury) https://sanctionslistservice.ofac.treas.gov/api/PublicationPreview/exports/SDN.CSV SHA-256 3f72e286b682d7fc261b98421f2e1b5d517240bb154ae9c0afd7f6057ea70345	2026-11-20 Last-Modified Thu, 19 Nov 2026 14:32:01 GMT	19,595	Screened by engine v0.2.0
US-OFAC-CONS - OFAC Consolidated Sanctions List, non-SDN lists (U.S. Treasury) https://sanctionslistservice.ofac.treas.gov/api/PublicationPreview/exports/CONS_PRIM.CSV SHA-256 2bd0f2df687ccc6e9f1766e9f633d52cc6245a6eb8c3483f51070a8239b10192	2026-11-20 Last-Modified Thu, 19 Nov 2026 14:32:01 GMT	486	Screened by engine v0.2.0
EU-FSF - EU Consolidated Financial Sanctions List (European Commission) https://webgate.ec.europa.eu/fsd/fsf/public/files/xmlFullSanctionsList_1_1/content?token=dG9rZW4tMjAxNw SHA-256 636800c3e494b2ef8934f51f5e23c914a6208a383ac850e674b1b35a7d49d34f	2026-11-20 generationDate 2026-10-23T17:05:43.888+02:00; globalFileId 185833	6,269	Screened by engine v0.2.0
UK-FCDO - UK Sanctions List (Foreign, Commonwealth and Development Office) https://sanctionslist.fcdo.gov.uk/docs/UK-Sanctions-List.xml SHA-256 3b2b75dab8dbe6fec2318a1619b03e367e62810013b285f8967a6393a2ab462a	2026-11-20 generated 17/11/2026	6,449	Screened by engine v0.2.0
UN-SC - United Nations Security Council Consolidated List https://scsanctions.un.org/resources/xml/en/consolidated.xml SHA-256 37ae3a5a1cd6793eaabcdb850b279b3c68113cc8af132bc717bc5af2b6fc3889	2026-11-20 generated 2026-11-20T00:00:05.835Z	1,007	Screened by engine v0.2.0

4. Identity and RIF

Field	Value	Source
Legal name	Suministros Tepuy Azul del Caribe, C.A.	Articles of incorporation (supplied)
RIF	J-40999999-0	RIF registration slip (supplied) and SENIAT lookup

Registry	Registro Mercantil Segundo de la Circunscripción Judicial del Estado Carabobo, tomo 00-A, número 00, 2019 (ficticio)	Articles of incorporation
Registered address	Zona Industrial Sur, Valencia, Estado Carabobo, Venezuela	RIF registration slip
Incorporation date	2019-03-14	Articles of incorporation
Business activity	Distribución de repuestos industriales y válvulas	Articles, company website
RIF status	Activo (consulta SENIAT simulada para la muestra)	SENIAT lookup

5. Directors, shareholders and owners

Name	Type	Role
Inversiones Tepuy Azul 2019, C.A.	entity	Shareholder, 60 %
Ramiro Ezequiel Valdivieso Urdaneta	individual (1971)	President and legal representative; 25 % (indirect, via holding)
Lorena Isabel Quiñones Barrios	individual (1980)	Director; 40 % shareholder
Gustavo Adolfo Peralta Mijares	individual (1966)	Director (finance)

6. Sanctions list results

Name	Result	Reviewer conclusion
Suministros Tepuy Azul del Caribe, C.A. ID 02f0d3b9	No listed name reached the threshold (75).	No match to review.
Inversiones Tepuy Azul 2019, C.A. ID 114afb00	No listed name reached the threshold (75).	No match to review.
Ramiro Ezequiel Valdivieso Urdaneta ID 511134d8	No listed name reached the threshold (75).	No match to review.
Lorena Isabel Quiñones Barrios ID 09751118	No listed name reached the threshold (75).	No match to review.
Gustavo Adolfo Peralta Mijares ID 19fd0738	No listed name reached the threshold (75).	No match to review.

Screening result as of 2026-11-20 against lists: CA-SEMA (downloaded 2026-11-20); US-OFAC-SDN (downloaded 2026-11-20); US-OFAC-CONS (downloaded 2026-11-20); EU-FSF (downloaded 2026-11-20); UK-FCDO (downloaded 2026-11-20); UN-SC (downloaded 2026-11-20). No listed name reached the 75 threshold in the lists and versions shown.

7. Politically exposed persons (PEP) - manual review by the reviewer

Manual review by the reviewer, not an engine result. Searched each person and the holding in: Gaceta Oficial index, official websites of ministries and state companies, press archives, LinkedIn. No current or past public office, and no management role in a state-owned company, was found for any person. [SAMPLE: in a real report each search is listed with date and link.]

8. Adverse media (Spanish and English) - manual review by the reviewer

Manual review by the reviewer, not an engine result.

Queries (ES/EN), for the company and each person: "<name>" + sancion* | corrupcion | fraude | lavado | detenido | OFAC | sanction* | fraud | bribery | indictment.

Sources: Venezuelan national and regional press, El Pais, Reuters, AP, Bloomberg Linea, Armando.info, Transparencia Venezuela, OCCRP. Result: 3 articles mention the company (trade fair, 2024; supplier award, 2025; new branch, 2026); none adverse. [SAMPLE: fictitious results.]

9. Venezuela general licence alerts

> Alert 1 (medium): the company declares that about 15 % of its 2025 sales went to a subsidiary of Petróleos de Venezuela, S.A. (PdVSA). PdVSA is on the OFAC SDN list, and the Government of Venezuela, including entities it owns or controls, is blocked under Executive Order 13884. A U.S. person paying for goods that end up in that chain, or a payment that benefits PdVSA, may need an OFAC general or specific licence. Ask your counsel to check the OFAC Venezuela general licence in force on the transaction date before paying.

Checked with no alert: no shareholder or director is a public official; no ownership by the Government of Venezuela at or above 50 % (direct or aggregated) in the documents reviewed; no gold, oil or debt-trading activity declared.

10. Observations, limits and suggested next steps

- The report covers only the sources and dates named. Lists change weekly: monitoring re-runs them every month.
- The ultimate owner of 'Inversiones Tepuy Azul 2019, C.A.' was taken from its minutes (supplied by the company); it was not checked against an independent registry.
- Suggested next step for the client: obtain a written statement from the company that the goods you buy are not resold to PdVSA or other blocked parties, and keep it with this report.

11. Reviewer sign-off

I reviewed the evidence gathered for this report, the potential matches and the conclusions above.

[Reviewer name] - ACAMS credential no. [....] - Contador Público Colegiado, CCPEM no. [....]

Signature: _____ Date: 2026-11-20 Review time: [..] min

Annex A. Engine query log

Raw engine output (JSON) for each name, SHA-256 of the output file: fdc0270f090f20d3180493fdc806273ba2bcc720234611550fe6ad01ff89f661

```
2026-11-20T03:56:47Z  02f0d3b9-33df-4397-888a-5cc815f59a96  "Suministros Tepuy Azul del Caribe, C.A."  type=entity  dob=None  tokens=['suministros', 'tepuy', 'azul', 'caribe']  matches=0
2026-11-20T03:56:47Z  114afb00-7a7e-49dd-9205-394af07d58b1  "Inversiones Tepuy Azul 2019, C.A."  type=entity  dob=None  tokens=['inversiones', 'tepuy', 'azul', '2019']  matches=0
2026-11-20T03:56:47Z  511134d8-5274-4052-a7c7-e59f3ff1843b  "Ramiro Ezequiel Valdivieso Urdaneta"  type=individual  dob=1971  tokens=['ramiro', 'ezequiel', 'valdivieso', 'urdaneta']  matches=0
2026-11-20T03:56:47Z  09751118-772f-4466-991f-b320f2f4eacd  "Lorena Isabel Quiñones Barrios"  type=individual  dob=1980  tokens=['lorena', 'isabel', 'quinones', 'barrios']  matches=0
2026-11-20T03:56:47Z  19fd0738-9e91-4f70-aeb6-a296aa720d34  "Gustavo Adolfo Peralta Mijares"  type=individual  dob=1966  tokens=['gustavo', 'adolfo', 'peralta', 'mijares']  matches=0
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